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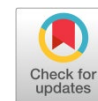
# Synthesis and Sintering

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Research article

## Synthesis and characterization of mullite ( $3\text{Al}_2\text{O}_3 \cdot 2\text{SiO}_2$ ) sol by sol-gel route using inorganic salts



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### ABSTRACT

Mullite, also referred to as  $3\text{Al}_2\text{O}_3 \cdot 2\text{SiO}_2$ , is recognized as the only chemically stable intermediate phase in the  $\text{SiO}_2\text{--Al}_2\text{O}_3$  system, as indicated by mineralogical studies. Several synthesis techniques can be employed to obtain mullite. In this research, the synthesis of mullite particles is aimed to be achieved via the sol-gel route using inexpensive materials, specifically silica sol and aluminum nitrate hydrate  $[(\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O})]$  as sources for silica and alumina, respectively. The article is organized into two sections which describe and discuss the systematic synthesis of the mullite sol. The first section emphasizes the influence of the stoichiometric values of Al and Si elements on the formation of the mullite phase at 1200 °C. The effects of sintering temperature on the microstructure and composition of the synthesized mullite sol, with a 3:1 alumina-to-silica ratio, are discussed in the following section. This includes pH, density, solid content, particle size distribution, thermal analysis, phase evolution with temperature, nature of bonds, and microstructural analysis. The XRD results for the mullite sol with a 3:1 alumina-to-silica ratio show strong crystalline diffraction peaks of the mullite phase and the absence of a free silica phase at 1200 °C. The solution exhibits a clear, stable, and homogeneous appearance, with a density of  $1.17 \text{ g/cm}^3$ , a pH ranging from 4 to 5, and a solid content of approximately 15%, measured after heating at 1000 °C for 2 h.

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### KEYWORDS

Mullite  
Sol-gel  
Inorganic route  
Chemical synthesis



### 1. Introduction

Mullite is a ceramic material composed of aluminosilicate ( $3\text{Al}_2\text{O}_3 \cdot 2\text{SiO}_2$ ) that is widely used due to its exceptional chemical and physical properties. It is recognized for its excellent mechanical strength, high melting point, resistance to abrasion and oxidation, and durability against corrosive furnace atmospheres. Additionally, mullite exhibits excellent creep resistance at high temperatures, good chemical stability, low thermal expansion ( $4.5 \times 10^{-6} \text{ }^\circ\text{C}^{-1}$ ), a low dielectric constant, and low thermal conductivity ( $6 \times 10^{-2} \text{ W}\cdot\text{cm}^{-1}\cdot\text{K}^{-1}$ ) [1,2]. Due to these unique properties, mullite finds diverse usages across structural, coating, chemical, optical, and electrical fields. Its applications include serving as an optical component in infrared windows, a binder in refractory castables, kiln setting slabs and posts

for firing ceramics, a catalyst carrier, and a gas-permeable ceramic element [3–5].

The synthesis technique and the choice of raw components play crucial roles in influencing the palletization temperature, reaction mechanisms, and product homogeneity during the formation of mullite. Since mullite is rarely found in nature, it is typically synthesized rather than extracted through mining. The synthesis of mullite can be classified into various methods based on the starting materials and routes employed [6, 7]:

- Solid-state-derived mullite.
- Liquid-state-derived mullite
- Vapor-state-derived mullite
- Solution-sol-gel-derived mullite
- Spray pyrolysis
- Hydrothermally

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The characteristics of mullite such as purity, homogeneity, crystallization temperature, and compaction are influenced by the synthesis method. Each method possesses distinct characteristics that are determined by the application and purity of the mullite produced. The sol-gel technique is commonly used for synthesizing mullite due to its cost-effectiveness, simple setup, high specific surface area, high purity, homogeneity, ability to form at relatively lower temperatures, and good reproducibility. Various parameters, including water content, raw materials, pH, and inorganic and organic additives, influence the rates of hydrolysis and condensation reactions in sol-gel methods [8, 9].

For example, previous studies have shown that higher pH levels lead to an increase in particle size, while acidic conditions favor the generation of smaller particles. Different sources of alumina and silica have been used for the formation of mullite sol ( $3\text{Al}_2\text{O}_3 \cdot 2\text{SiO}_2$ ). Several inorganic salts (e.g., chloride, acetate, sulfate, and nitrate) or organic compounds (metal alkoxides) are used as precursors. Tan et al. [10] synthesized mullite fibers using the sol-gel method with silica and alumina as starting materials. They found that the complete nullification occurred at 1000 °C. The calcined fibers had uniform diameters and smooth surfaces. The mullite homogeneity and synthesis conditions via the sol-gel method resulted in various types of gels that could be categorized into three types:

- Monophasic gel: if the mixing of Si and Al atoms happens at the atomic level.
- Diphasic gel: if the level of homogeneity is between 1 and 100 nm.
- Hybrid gel: if an integration of monophasic and diphasic takes place.

Monophasic gels may be achieved through slow alkoxides hydrolysis and salt solution mixtures. The synthesis and analysis of sol-gel-derived mullite monophasic powders were studied by Jana et al. [11]. They synthesized mullite powder using an aqueous solution of tetraethyl orthosilicate (TEOS) and aluminum isopropoxide (AIP). Their research discloses that the synthesized mullite has homogeneity in the blending scale of the aluminum-silicon components, resulting in an exothermic peak. The formation of tetragonal mullite at 980 °C is verified by XRD testing. Wang et al. [12] successfully synthesized diphasic mullite gels using the sol-gel process from boehmite sol and silica sol, employing varying concentrations of alumina and silica. The study demonstrated that the structural evolution, mullite formation temperature, and densification behavior are significantly influenced by the homogeneity of the gels. Gels with higher homogeneity exhibited mullite crystallization at lower temperatures, which leads to poorer densification behavior due to overlap with the temperature range required for densification through viscous flow and reactive sintering. The initial generation of silica-alumina spinel at approximately 950 °C was facilitated by the inclusion of ammonium nitrate in the gels, which then reacts with free  $\text{SiO}_2$  to produce elongated grains of orthorhombic mullite at around 1250 °C. Consequently, sol-gel technology plays a critical role in various aspects of materials science, including the nuclear, ceramics, refractory, and electronics industries. Notably, the use of ceramic sols (such as alumina, spinel, mullite, silica, etc.) has introduced new bonding systems in the refractory industries [13, 14]. The gel formation from a sol that encircles the refractory particles serves as the basis for the bonding system. Upon heating, the gel bonding system is replaced by a ceramic bonding system. The sintering of these ceramic bonds at the interfaces of refractory particles enhances the strength of the refractory body. Research by Singh et al. [15] on the

synthesis of mullite sol was carried out using a wet chemical synthesis route. This sol was utilized as a binder in pure  $\text{Al}_2\text{O}_3$  refractories with two different particle size distributions. It was found that a basic tapping method could be economical. Heat treatment of the sol before use activated it, increasing the solid content and enhancing the physical characteristics of the castables. In another study, Mukhopadhyay et al. [16] synthesized mullite and spinel sols using a cheaper precursor of  $\text{Al}_2\text{O}_3$  sol. The effects of spinel and mullite sols as binders in ultra-low cement high-alumina-based castables were examined. The study concluded that mullite sol outperforms spinel sol due to its homogeneity and high reactivity with micro-fine particles, whereas spinel sol negatively impacts the quality of refractory castables.

The present study aims to synthesize mullite ( $3\text{Al}_2\text{O}_3 \cdot 2\text{SiO}_2$ ) nanoparticles via a simple cost-effective route. The colloidal suspensions of mullite are derived from silica sol and aluminum nitrate hydrate [ $\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$ ]. The synthesized sol will be characterized for various properties, including solid content, pH, phase composition, particle size, thermal behavior, and microstructure at different temperatures.

## 2. Experimental

### 2.1. Materials and processes

In the present paper, the nanostructured mullite particles are synthesized by a sol-gel process through an inorganic route. The used starting materials in the formation of mullite sol were aluminum nitrate nonahydrate ( $\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$ ) (purity >98%, Merck, Germany) as an alumina source, silica sol (25%  $\text{SiO}_2$ , CAS No.: 7631-86-9, Iran) as a silica source, ammonia (25%, Merck, D-6100 Darmstadt, Germany), nitric acid (E. Merck, purity > 99%, F.R. Germany), and deionized water (1 g·cm<sup>-3</sup>, Dr. Mojallali Industrial Chemical, Iran). For mullite sol preparation, aluminum nitrate solution was dissolved in silica sol at varying ratios (alumina-to-silica of 2:4, 2:3, 3:2, and 3:1, identified as M4, M3, M2, and M1, respectively) with vigorous stirring using a magnetic stirrer (d500, Alfa, Iran) for 1 hour at 25 °C. Then, ammonia solution, in a stoichiometric amount relative to the alumina source, was gradually added under vigorous stirring for 5 hours to prevent precipitation. Adding ammonia enhances the pH and viscosity of the colloidal suspension [17]. Finally, a stable clear mullite precursor sol was achieved. The method for preparing the mullite sol is detailed in Fig. 1. The mullite sol forms a gelled network when heated above 60 °C in a rotary evaporator (Tebazma HMS 14, Iran). The gel was then converted into mullite nanoparticles by further heating at 1000 °C in an oven (Azar Furnace Co., M11L-1100, Iran).

### 2.2. Characterization

Various analyses were conducted to investigate the microstructure and characterization of the synthesized sol. The solid content was assessed using the loss on ignition technique after firing at a temperature of 1000 °C for 2 h, while pH was measured with a digital pH meter (AZ, model 8686). Particle size distribution was measured using dynamic light scattering (DLS: Nanotrak Wave model, Microtrac Co.), while density was evaluated through a pycnometer. The presence of bonds was analyzed via Fourier transform infrared spectroscopy (FTIR: Bruker Co., Germany), and thermal characteristics were evaluated using thermal gravimetric analysis and differential scanning calorimetry (DSC-TG: TA-Q600, USA). Phase analysis was performed

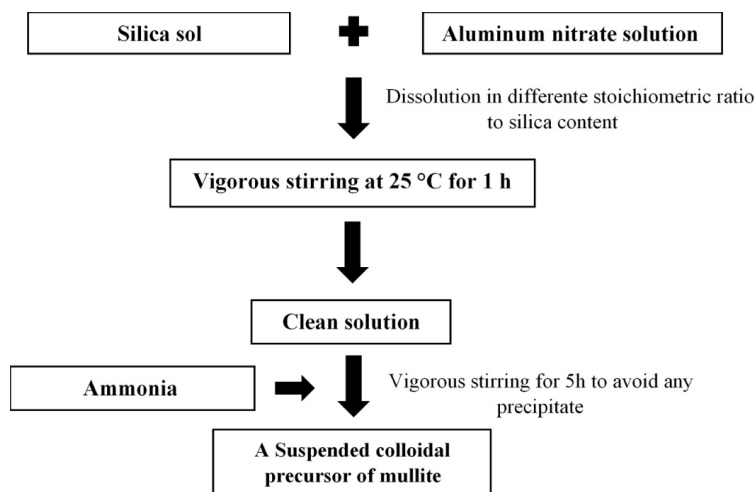


Fig. 1. Flowchart of mullite sol synthesis via inorganic route.

through X-ray diffraction, employing, a step time of 0.3 s, a step size of 0.02, Cu K $\alpha$  at 30 mA and 40 kV within the  $2\theta$  range of  $20^\circ$ – $80^\circ$  at a scanning speed of  $5^\circ/\text{min}$  (D5000, Siemens, Germany). Additionally, the morphology and microstructure studies of the dried and calcined sol particles were conducted using field emission scanning electron microscopy (FESEM: Tescan Mira 3, Czech Republic), complemented by energy dispersive spectroscopy (EDS: digital X-ray DXP-X10P processor) for elemental evaluation.

### 3. Results and discussion

In this section, the results and phase analysis of mullite sol using the XRD method will be discussed. Fig. 2 presents the XRD spectra of powders synthesized at  $1200^\circ\text{C}$  from mullite sols with different alumina-to-silica ratios (M1=3:1, M2=3:2, M3=2:3, and M4=2:4). It was observed that M4 exhibits weak crystalline diffraction peaks corresponding to the mullite phase and free silica phase at  $1200^\circ\text{C}$ . Comparing the XRD patterns of mullite sols with varying alumina and silica contents reveals that, in M1, the intensity of all orthorhombic mullite phase peaks significantly increased, with no free silica detected at  $1200^\circ\text{C}$ . This confirms that factors such as particle size,  $\text{Al}_2\text{O}_3/\text{SiO}_2$  ratio, purity, and degree of mixing influence the chemical reaction involved in mullite formation.

Here, the properties and characteristics of mullite sol M1 prepared via the chemical route are investigated by solid content, density measurement, thermal analysis, particle size distribution, phase formation as a function of temperature, microstructural, and FTIR analysis. The stability of the synthesized mullite sol M1 is presented in Fig. 3, showing transparency and stability for over 2 months at  $25^\circ\text{C}$  (room temperature). The mullite sol possesses a density of  $1.17\text{ g/cm}^3$  and a pH value of 5. When the temperature exceeds  $40^\circ\text{C}$ , the sol turned into a gel due to water loss over time. The average solid content of the sol, determined through the loss on ignition technique at  $1000^\circ\text{C}$  for 2 h, is approximately 15%. As can be seen in Fig. 4, dynamic light scattering (DLS) in dilute conditions reveals that all particles of mullite sol fall within the 10–1000 nm size range. This particle size in nanometers enables the particles to exhibit Brownian motion, preventing sedimentation, and maintaining sol stability for over 2 months.

The characteristic bands in the mullite sol were examined using Fourier transform infrared spectroscopic (FTIR) analysis. The FTIR results of mullite powder dried at  $200^\circ\text{C}$  in the wavenumber region  $500$ – $1400\text{ cm}^{-1}$  are depicted in Fig. 5. The mullite band appeared at around  $550$ ,  $720$ ,  $850$ , and  $1170\text{ cm}^{-1}$  in the spectra. The peaks at  $550$

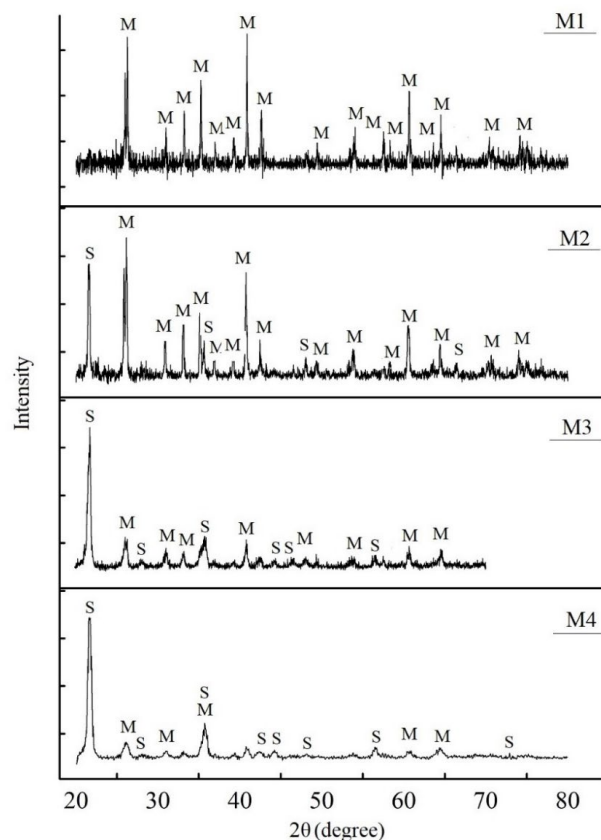


Fig. 2. The X-ray diffraction patterns of mullite sol with various ratios of alumina-to-silica content heated at  $1200^\circ\text{C}$  (M: mullite and S: silica).

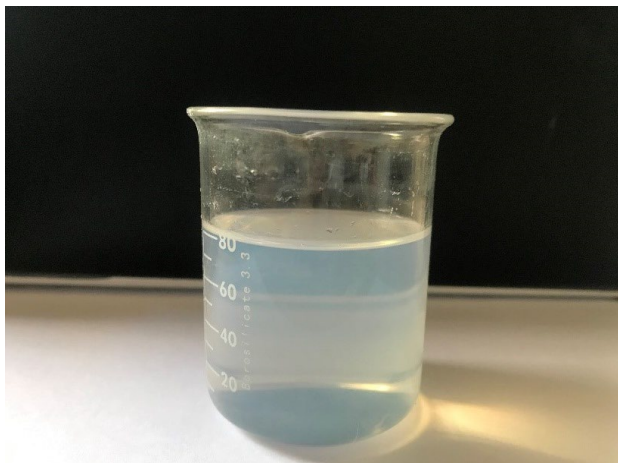


Fig. 3. Stable mullite sol (M1) prepared from inorganic precursors.

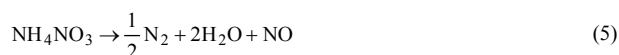
and  $850\text{ cm}^{-1}$  correspond to the stretching vibration of Al–O in alumina octahedral and tetrahedral ( $\text{AlO}_6$  and  $\text{AlO}_4$ ), respectively. The peak at  $720\text{ cm}^{-1}$  might be attributed to the bending vibrations of Al–OH. It seems that the presence of a band at about  $1170\text{ cm}^{-1}$  is due to the stretching vibration of Si–O in silica tetrahedral ( $\text{SiO}_4$ ) [18]. Additionally, bands appearing at approximately  $1385\text{ cm}^{-1}$  are attributed to the stretching vibration of the residual nitrates ( $\text{NO}_3$ ) group [19–21]. Based on the above analysis, the broad bands observed in the range of  $1640$  and  $3200\text{ cm}^{-1}$  in the spectrum correspond to the –OH stretching vibration and the deformation vibrations of H–O–H, respectively. These bands are attributed to the presence of structural molecular water. No vibration related to metal-oxide-metal bonds is observed due to the lack of Si–O–Al (mullite) bond formation at  $200\text{ }^\circ\text{C}$ .

The mechanism of the formation of mullite nanoparticles is followed by thermal analysis. The simultaneous differential scanning calorimetry and thermogravimetric (DSC-TG) curves of the powder derived from mullite sol (M1) after drying at  $200\text{ }^\circ\text{C}$  were presented in Fig. 6. Although dried at  $200\text{ }^\circ\text{C}$ , the powder obtained from mullite sol has absorbed some environmental moisture due to its hygroscopic nature. In the DSC pattern, a broad endothermic peak between  $60$ – $200\text{ }^\circ\text{C}$  is

observed, likely due to the evaporation of physically bonded absorbed water, which is supported by the weight loss observed in the TG diagram at the same temperature. The next outstanding and sharp endothermic peaks at around  $280$  and  $500\text{ }^\circ\text{C}$  can be attributed to the conversion of aluminum trihydrate ( $\text{Al}(\text{OH})_3$ ) to boehmite ( $\text{AlO}(\text{OH})$ ) and slow dehydration of boehmite to  $\gamma\text{-Al}_2\text{O}_3$ , as illustrated in Eq. 1 & 2, respectively.



According to the previous study, the exothermic reaction around  $350\text{ }^\circ\text{C}$  is associated with the decomposition of ammonium nitrate ( $\text{NH}_4\text{NO}_3$ ) [14]. Some of the ammonium nitrate decomposition reactions mentioned in the sources are as follows [20]:



A tiny and broad endothermic peak around  $950\text{ }^\circ\text{C}$  is observed during the further heating of mullite powder, which is likely attributed to the phase transformation of  $\gamma\text{-Al}_2\text{O}_3$  to  $\alpha\text{-Al}_2\text{O}_3$ . The crystallization of mullite (nullification), formed from a well-mixed blend of the  $\alpha\text{-Al}_2\text{O}_3$  phase and amorphous silica, is indicated by the final broad endothermic peak in the DSC diagram at approximately  $1150\text{ }^\circ\text{C}$ . This is supported by X-ray diffraction analysis (Fig. 2), which shows the formation of mullite with no evidence of free silica at  $1200\text{ }^\circ\text{C}$ . The TG plot (Fig. 6) shows weight loss occurring between  $200$  and  $400\text{ }^\circ\text{C}$ , accounting for approximately  $80\%$  of the total weight loss. This can be attributed to the elimination of bonded (OH) groups and decomposition reactions, including the decomposition of ammonium nitrate at  $350\text{ }^\circ\text{C}$ . After  $600\text{ }^\circ\text{C}$ , almost no weight loss is observed in the TG plot, which was due to the onset of crystallization.

The field emission scanning electron microscopy was employed to assess the impact of sintering on microstructural evolution. The FESEM images along with EDS results of the mullite, sol dried at  $200\text{ }^\circ\text{C}$  and sintered at  $1200\text{ }^\circ\text{C}$  are illustrated in Figs. 7 & 8.

The micrographs (Fig. 7) reveal highly agglomerated particles on the

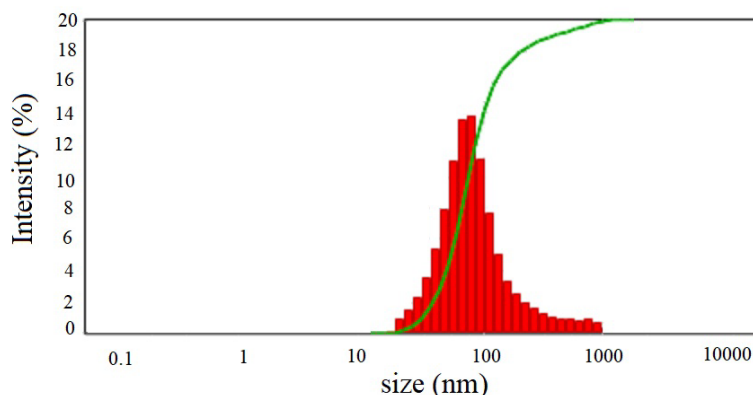
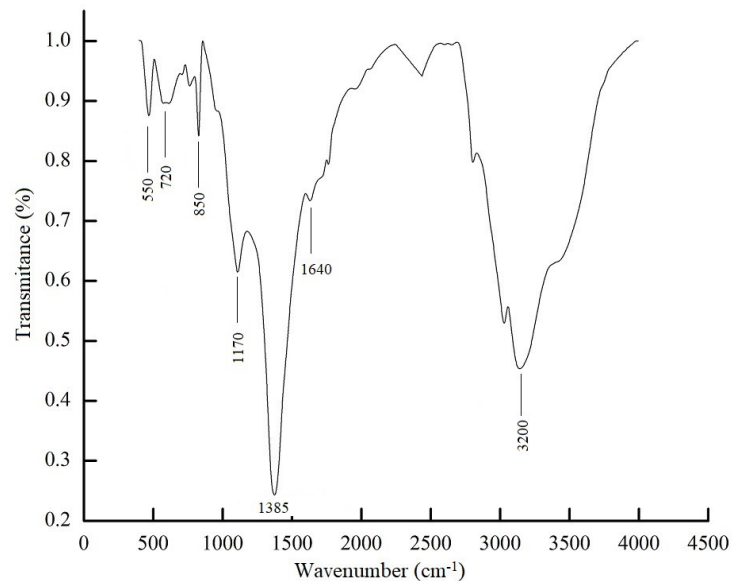


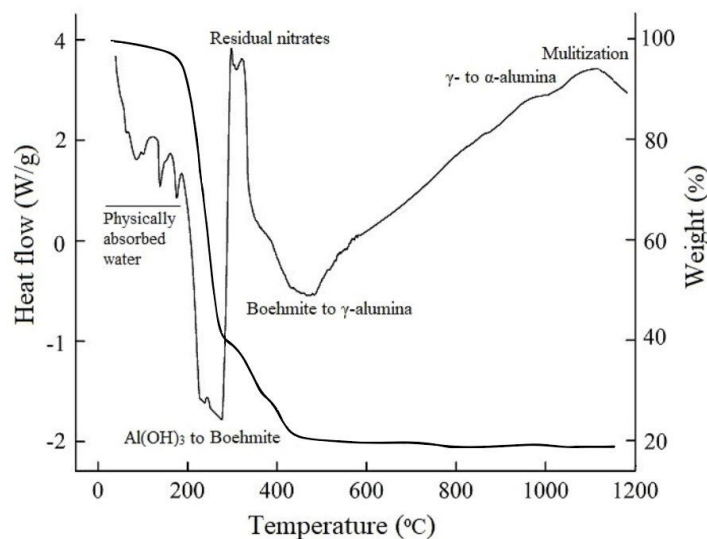
Fig. 4. Diagram of the particle size distribution for the synthesized mullite sol (M1).



**Fig. 5.** The Fourier transform infrared (FTIR) spectroscopy of dried mullite sol (M1) at 200 °C.

surface at lower temperatures, along with smaller distributed and agglomerated particles of varying shapes and sizes. These aggregated particles may result from the reaction of raw materials, as well as the high surface area and intense reactivity of the sol particles, as indicated by the formation of various phases. As it is shown in Fig. 7, the average diameter of the mullite sol at 200 °C is below 40 nm. After heat treatment at 1200 °C and conversion to mullite particles, the mean grain sizes, measured around 35–50 nm as shown in Fig. 8, are observed due to grain growth during the 120-minute calcination period. The EDS results of the particles dried at 200 °C show no significant difference compared to those sintered at 1200 °C. Peaks for Al, O, Si, and N are observed in both specimens, with the N peak attributed to

residual nitrate. At 1200 °C, the intensity of the N and O peaks weakened compared to 200 °C, due to the reduction of residual nitrides (Eqs. 3, 4, 5, and 6) and the removal of absorbed water (Eqs. 1 and 2), respectively. Additionally, the intensity of the Al and Si peaks is intensified at 1200 °C, indicating the formation of mullite particles. This study aimed to produce mullite sol using cost-effective precursors, including aluminum nitrate salt, silica sol, and ammonia, via the sol-gel method. Previous studies have successfully synthesized alumina [13] and spinel [14] sols using the inorganic sol-gel process. The impact of incorporating synthesized alumina, spinel, and mullite sols into alumina spinel as a substitute for cement will be explored in a separate investigation.



**Fig. 6.** The DSC-TG results of mullite precursor sol (M1) dried at 200 °C.

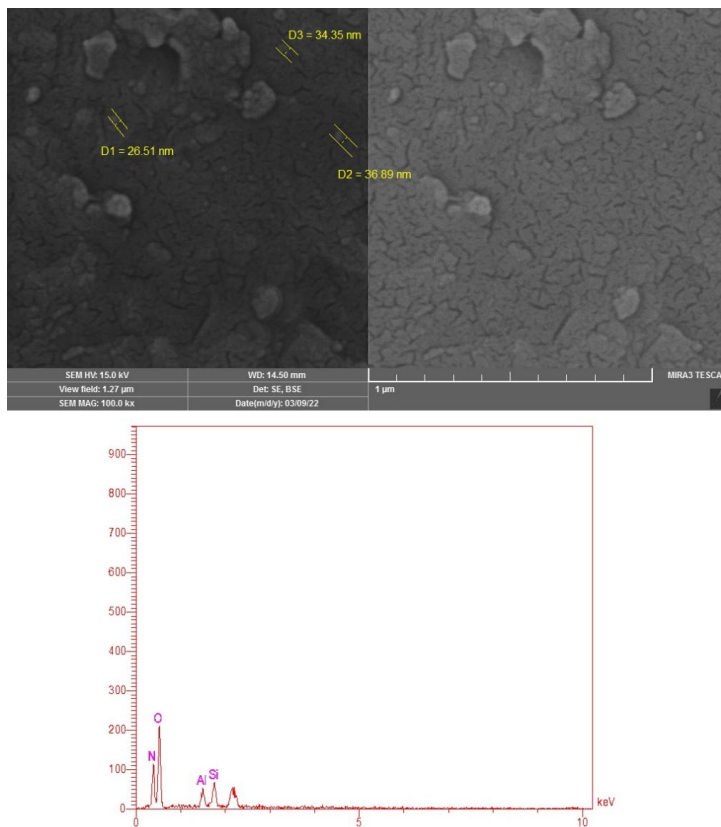


Fig. 7. FESEM image and EDS of mullite sol (M1) dried at 200 °C.

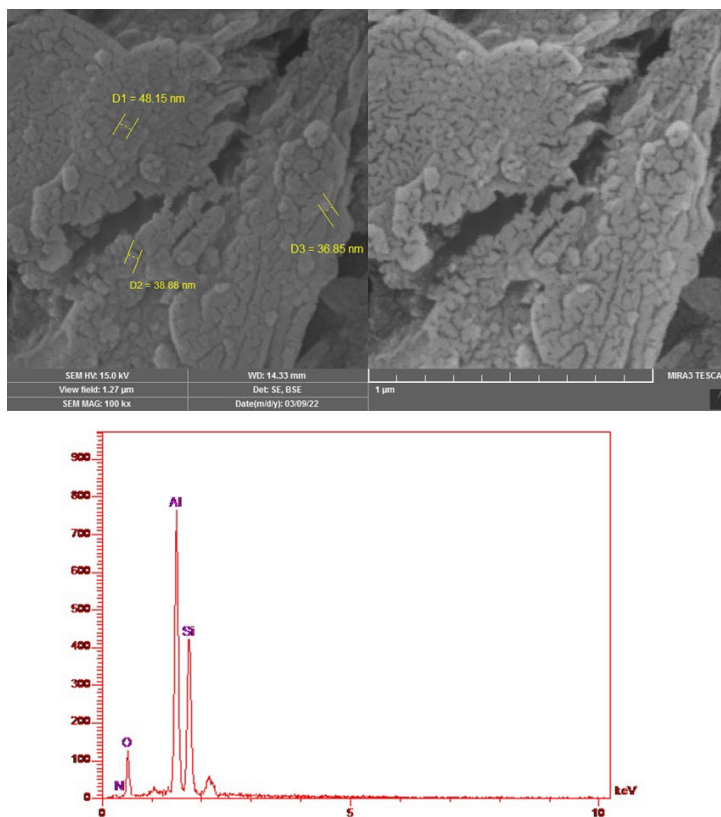


Fig. 8. FESEM image and EDS of mullite sol (M1) sintered at 1200 °C.



#### 4. Conclusions

In this research, the mullite particles were synthesized via a wet chemical route (sol-gel technique) employing inexpensive materials, including silica sol and aluminum nitrate hydrate  $[(\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O})]$  as the source for silica and alumina, respectively. The particles were analyzed for solid level, pH, particle size, density, FTIR, DSC-TG, phase analysis, and microstructure evaluation at various calcination temperatures. The formation of post-calcination pure nano-oxides is indicated by different methods for characterizing sols. Mullite formation from the synthesized mullite sol, which contained a 3:1 ratio of alumina-to-silica, could be achieved by calcination at around 1200 °C. The resulting mullite sol has a solid content of ~15%. The FTIR and DSC-TG analysis on the mullite powder dried achieved from the sol verifies the presence of mullite. Based on the FESEM micrographs, the grain size of the sample calcined at 1000 °C for 2 h is estimated to range from 30 to 50 nanometers.

#### CRedit authorship contribution statement

**Sahar Sajjadi Milani:** Writing – original draft, Visualization, Investigation.

**Mahdi Ghassemi Kakroudi:** Writing – review & editing, Project administration.

**Nasser Pourmohammadi Vafa:** Writing – review & editing.

#### Data availability

The data underlying this article will be shared on reasonable request to the corresponding author.

#### Declaration of competing interest

The authors declare no competing interests.

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